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09/213,856#19  
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Official

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : Before the Examiner:  
Scott A. Morgan et al. : Angela Armstrong  
Serial No.: 09/213,856 : Group Art Unit: 2654  
Filed: December 17, 1998 : Intellectual Property  
Title: SPEECH COMMAND INPUT : Law Department - 4054  
RECOGNITION SYSTEM FOR : International Business  
INTERACTIVE COMPUTER DISPLAY : Machines Corporation  
WITH INTERPRETATION OF : 11400 Burnet Road  
ANCILLARY RELEVANT SPEECH : Austin, Texas 78758  
QUERY TERMS INTO COMMANDS : Date: 06/18/02

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6-19-02CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence including The present Response and an accompanying Transmittal letter is being transmitted via facsimile to USPTO, Group Art Unit 2654 at telephone number 703-872-9314 and to the attention of Examiner A. Armstrong on 06/18/02.

J. B. KRAFTJ. B. Kraft  
Signature06/18/02  
DateRESPONSE

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

This is in response to the Office action mailed April 11, 2002.

With respect to the provisional rejection of the claims based upon obvious type double patenting over the combination copending Application SN. 09/213,858 in view of

AT9-98-343

1

PATENT  
09/213,856

Morin et al., the Examiner indicated that this rejection will be withheld until conflicting claims are found to be allowable. Since, there is a common assignee of the cited application and the present application, assignee is prepared to submit any appropriate terminal disclaimer upon allowance of claims.

The Final Rejection herein has been withdrawn after Applicants' Brief on Appeal had been filed and the present new rejection issued. Accordingly, Applicants respectfully traverse the rejection of claims 1-3, 5-8, 10-13, and 15 under 35 U.S.C. 103(a) as being unpatentable over the combination of Brant et al. (US 5,386,494) in view of Morin et al. (US 5,748,841).

In this connection, Applicants are disappointed in the withdrawal of the Appeal and the reopening of prosecution for the citation of the Brant reference which substantially has no effect on the issues of the Appeal.

The present invention is directed to speech recognition computer systems in which specified actions are performed on the computer controlled display in response to recognized specific spoken commands. In addition, the present invention provides for each true command, an associated set of relevant speech terms which are not commands. The system then detects the true commands, and also detects the relevant speech terms, i.e. non-commands. The system then simultaneously displays both the recognized true commands as well as the true commands for which a relevant associated speech term was recognized. This gives the user the opportunity to easily select commands relevant to recognized speech terms on an equal basis with the normally recognized commands.

While the system of Brant does detect and distinguish true commands from speech utterances which are not such

PATENT  
09/213,856

commands, the reference makes no suggestion as to how such non-commands may be used for any advantageous purpose. The main purpose of Brant's distinguishing non-commands from commands is to minimize the effects of unintentional or casual speech upon the ability of the system to recognize true commands. The Brant reference does not suggest providing for each true command, an associated set of relevant speech terms which are not commands or simultaneously displaying both the recognized true commands as well as the true commands for which a relevant associated speech term was recognized.

While Brant may display the recognized true commands, it in no way suggests the additional simultaneous display of commands associated with recognized non-command speech terms. The Examiners also concedes this point:

"Brant does not specifically teach associating non-command speech terms with an associated command and displaying relevant commands based upon the non-command speech term." (bottom of page 4, Office Action)

The Examiner relies on the Morin patent to make up for these deficiencies of the Brant reference. However, Morin's teaching is too complex to offer one skilled in the art any insight into or suggestion of Applicants' invention. Morin et al. relates to a complex process for heuristically teaching a user the command languages of computer operating systems as well as programming applications for such systems through spoken user input and feedback from the system.

The present invention is not heuristic; it does not teach itself anything. The associated relevant non-command speech terms are not developed by the system but are provided by the user. The Examiner fails to specifically point out where in Morin's elaborate teaching of a heuristic

PATENT  
09/213,856

speech recognition system is there a suggestion of the deficiencies of the Brant teaching. The primary portion of Morin pointed to by Examiner (Col. 19, line 20 -Col. 20, line 64) works with interactively with users via displays to build commands. As the legal or true commands are being built, the user gets a menu of possible next words when he selects and enters each sequential word in the command phrase being built. Applicants fail to see how such a procedure suggests providing for each true command, an associated set of relevant speech terms which are not commands or simultaneously displaying both the recognized true commands as well as the true commands for which a relevant associated speech term was recognized.

Although the procedure of the present invention appears to be much simpler than the heuristic process of Morin, that simplicity alone should not render it obvious over Morin.

In view of the foregoing, it is submitted that claims 1-3, 5-8, 10-13, and 15 are in condition for allowance, and such allowance is respectfully requested.

Respectfully submitted,

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AT9-98-343

4